PURVA SHAREGISTRY (INDIA) PRIVATE LIMITED

NSDL Depository Participant | DP ID: IN304990
9 Shiv Shakti Industrial Estate, J.R. Boricha Marg, Near Lodha Excelus, Lower Parel East, Mumbai – 400 011
Tel: 022-41343265 | Email: dp@purvashare.com

FATCA / CRS SELF-CERTIFICATION — ENTITY

Pursuant to SEBI Circular SEBI/HO/MIRSD/SECFATF/P/CIR/2024/12 dated February 20, 2024, all intermediaries are required to obtain FATCA / CRS self-certification from account holders.

FATCA = Foreign Account Tax Compliance Act (US). CRS = Common Reporting Standard (OECD). This form identifies entities that may have tax obligations in more than one country.

SECTION 1 — ENTITY DETAILS

1. Full Legal Name of Entity *	
2. Country of Incorporation *	
3. PAN (India) / Foreign Tax ID *	
4. GIIN (Global Intermediary Identification Number) *If registered as FATCA-compliant Financial Institution*	

SECTION 2 — TAX RESIDENCY DETAILS

If the entity is a tax resident in more than one country, please list all countries. Attach additional sheet if required.

S.No.	Country of Tax Residence	Tax Identification Number (TIN)	If no TIN, reason (A/B/C)*
1.			
2.			
3.			

** TIN Not Available — Reason Codes: A = Country does not issue TINs; B = Entity is not required to obtain TIN; C = TIN not yet obtained (state reason below)*

SECTION 3 — ENTITY CLASSIFICATION (FATCA / CRS)

For FATCA purposes, tick whether the entity is a 'Financial Institution' or a 'Non-Financial Entity (NFE)'. For CRS purposes, indicate if the NFE is 'Active' or 'Passive'.

FATCA Entity Type *	☐ Financial Institution (FI) ☐ Non-Financial Entity (NFE) – Active ☐ Non-Financial Entity (NFE) – Passive
CRS Entity Type *	☐ Reporting Financial Institution ☐ Non-Reporting Financial Institution ☐ Active NFE ☐ Passive NFE
Is the entity incorporated in the USA? *	☐ Yes ☐ No
Is the entity a US Person under US tax law? *	☐ Yes ☐ No

Does the entity have a Substantial US Owner? *	□ Yes (provide details below) □ No
If Yes — Name of Substantial US Owner	
US SSN / TIN of Substantial US Owner	
% Ownership / Control	

SECTION 4 — CONTROLLING PERSONS (for Passive NFE)

If the entity is classified as a Passive NFE, the FATCA/CRS details of all 'Controlling Persons' (with ≥25% ownership or control) must be provided. Use separate sheet if more than 2.

Name	Country of Tax Residence	TIN	Date of Birth	Country of Birth	Type of Control

DECLARATION

I / We hereby certify that the information provided above is complete, true and correct. I / We undertake to inform Purva Sharegistry (India) Pvt. Ltd. of any change in circumstances which would cause the information herein to be incorrect or incomplete within 30 days of such change.

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Authorised Signatory 1 (Name & Designation)

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Authorised Signatory 2 (if req.) (Name & Designation)

Entity Seal / Stamp	
Date (DD/MM/YYYY)	
Place	